

ON THE MODIFIED MOD p LOCAL LANGLANDS CORRESPONDENCE FOR $\mathrm{GL}_2(\mathbb{Q}_\ell)$

DAVID HELM

We give an explicit description of the modified mod p local Langlands correspondence for $\mathrm{GL}_2(\mathbb{Q}_\ell)$ of [EH], Theorem 5.1.5, where p is an odd prime different from ℓ .

1. INTRODUCTION

In [EH], Matthew Emerton and the author introduce a “modified mod p local Langlands correspondence,” a “mod p ” version of the local Langlands correspondence that is well-behaved under specialization and has useful applications to the cohomology of modular curves and the “local Langlands correspondence in families” of [EH]. Section 5 of [EH] gives a general characterization of this mod p correspondence in terms of its basic properties. If one restricts to the group GL_2 , it is easy in most cases to go from this list of characterizing properties to an explicit description of this correspondence. These easy cases are discussed in detail in section 5.2 of [EH]. When p is odd the cases discussed come close to a complete description of the correspondence, but omit certain more difficult special cases. The purpose of this note is to explicitly describe the correspondence in these more difficult cases and thus complete the description of the modified mod p local Langlands correspondence for GL_2 and odd p .

Let F be a finite extension of \mathbb{Q}_ℓ whose residue field has order q , let p be an odd prime distinct from ℓ , and let k be a finite field of characteristic p . The modified mod p local Langlands correspondence is an association $\overline{\rho} \mapsto \overline{\pi}(\overline{\rho})$, where $\overline{\rho} : G_F \rightarrow \mathrm{GL}_n(k)$ is a continuous n -dimensional representation of the absolute Galois group of F , and $\overline{\pi}(\overline{\rho})$ is a finite length indecomposable smooth representation of $\mathrm{GL}_n(F)$. Its interest arises from its nice behaviour under specialization, which we discuss below, and also from the fact that it arises “in nature” in the cohomology of the tower of modular curves. Indeed, in [Em], Emerton considers the following situation:

Let Σ be a finite set of primes containing p , and let H_Σ^1 be the direct limit:

$$\lim_{\mathrm{Supp} N \subseteq \Sigma} H_{\mathrm{et}}^1(X(N)_{\overline{\mathbb{Q}}}, k).$$

(Here $\mathrm{Supp} N$ denotes the set of primes dividing an integer N , so the limit is over N divisible only by primes in Σ , ordered by divisibility.) The space H_Σ^1 acquires actions of $G_{\mathbb{Q}}$, of $\mathrm{GL}_2(\mathbb{Q}_p)$, and of $\mathrm{GL}_2(\mathbb{Q}_\ell)$ for $\ell \neq p$, as well as of the Hecke operators T_r for $r \notin \Sigma$ and the diamond operators $\langle d \rangle$ for d not divisible by any prime of Σ . Let \mathbb{T}_Σ be the subalgebra of $\mathrm{End}_k(H_\Sigma^1)$ generated by these Hecke operators and diamond operators.

2000 *Mathematics Subject Classification.* 11F70 (Primary), 22E50 (Secondary).

Let $\bar{\rho} : G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(k)$ be a modular Galois representation unramified outside Σ . Then there is a maximal ideal \mathfrak{m} of \mathbb{T}_{Σ} attached to $\bar{\rho}$, and (under certain hypotheses on the local behavior of $\bar{\rho}$ at p), Emerton has shown ([Em], Theorem 6.2.13 and Proposition 6.1.20) that $H_{\sigma}^1[\mathfrak{m}]$ is a product of “local factors”:

$$H_{\sigma}^1[\mathfrak{m}] \cong \bar{\rho} \otimes \pi_p \otimes \bigotimes_{\ell \neq p, \ell \in \Sigma} \pi_{\ell}$$

where π_p is attached to $\bar{\rho}|_{G_{\mathbb{Q}_p}}$ by considerations from the p -adic Langlands program (see [Em], section 3, for details) and each π_{ℓ} is the representation $\bar{\pi}(\bar{\rho}|_{G_{\mathbb{Q}_{\ell}}})$ attached to the restriction of $\bar{\rho}$ to a decomposition group at ℓ via the modified mod p local Langlands correspondence for $\mathrm{GL}_2(\mathbb{Q}_{\ell})$. Thus an explicit description of the modified mod p local Langlands correspondence for $\mathrm{GL}_2(\mathbb{Q}_{\ell})$ gives an explicit description of the action of $\mathrm{GL}_2(\mathbb{Q}_{\ell})$ on the cohomology of the modular tower.

We now recall more precisely the definition of the modified mod p local Langlands correspondence. The starting point is the characteristic zero “generic local Langlands correspondence” of Breuil-Schneider [BS]. We refer the reader to sections 4.2 and 4.3 of [EH] for the basic properties of this correspondence. In particular, this correspondence associates to any n -dimensional Frobenius-semisimple Weil-Deligne representation (ρ, N) over a field K containing \mathbb{Q}_p an indecomposable (but often reducible) admissible representation $\pi(\rho, N)$ of $\mathrm{GL}_n(F)$.

The representations $\pi(\rho, N)$ have several nice properties. In particular, they are *essentially AIG* representations, a concept introduced in section 3.2 of [EH]. A smooth representation π of $\mathrm{GL}_n(F)$ over a field K is called *essentially AIG* if

- the socle of π is absolutely irreducible and generic,
- π contains no generic irreducible subquotients other than its socle, and
- π is the sum of its finite length submodules.

Such representations have several useful properties. In particular, their only endomorphisms are scalars ([EH], Lemma 3.2.3), any submodule of an essentially AIG representation is essentially AIG, any nonzero map of essentially AIG representations is an embedding, and such an embedding, if it exists at all, is unique up to a scalar factor ([EH], Lemma 3.2.2). Moreover, if π is an absolutely irreducible generic representation of $\mathrm{GL}_n(F)$, then there is an essentially AIG representation $\mathrm{env}(\pi)$, known as the *essentially AIG envelope* of π , such that the socle of $\mathrm{env}(\pi)$ is isomorphic to π and any essentially AIG representation π' with socle isomorphic to π embeds in $\mathrm{env}(\pi)$ ([EH], Proposition 3.2.7). Moreover, all the subquotients of $\mathrm{env}(\pi)$ (or, more generally, of any essentially AIG representation) have the same supercuspidal support ([EH], Corollary 3.2.14).

A final useful property of essentially AIG representations is that they contain distinguished lattices (up to homothety). In particular, let \mathcal{O} be a discrete valuation ring with residue field k and field of fractions K , and let π be an essentially AIG representation over K . Suppose further that π is \mathcal{O} -integral; that is, contains a $\mathrm{GL}_n(F)$ -invariant \mathcal{O} -lattice. Then there is an \mathcal{O} -lattice π° in π , unique up to homothety, such that $\pi^{\circ} \otimes_{\mathcal{O}} k$ is essentially AIG ([EH], Proposition 3.3.2).

This last property is crucial because it allows for a definition of the modified mod p local Langlands correspondence via “compatibility with reduction mod p ” from the characteristic zero correspondence of Breuil-Schneider. More precisely, one has:

Theorem 1.1 ([EH], Theorem 5.1.5). *Let k be a finite field of characteristic p . There is a map $\bar{\rho} \mapsto \bar{\pi}(\bar{\rho})$ from the set of isomorphism classes of continuous representations $G_F \rightarrow \mathrm{GL}_n(k)$ to the set of isomorphism classes of finite length admissible smooth $\mathrm{GL}_n(F)$ -representations over k , uniquely determined by the following three conditions:*

- (1) *For any $\bar{\rho}$, the associated $\mathrm{GL}_n(F)$ -representation $\bar{\pi}(\bar{\rho})$ is essentially AIG.*
- (2) *If K is a finite extension of \mathbb{Q}_p , with ring of integers \mathcal{O} and residue field k' containing k , $\rho : G_F \rightarrow \mathrm{GL}_n(\mathcal{O})$ is a continuous representation lifting $\bar{\rho} \otimes_k k'$, and π° is the unique \mathcal{O} -lattice in $\pi(\rho)$ such that $\pi^\circ \otimes_{\mathcal{O}} k'$ is essentially AIG, then there is an embedding*

$$\pi^\circ \otimes_{\mathcal{O}} k' \hookrightarrow \bar{\pi}(\bar{\rho}) \otimes_k k'.$$

- (3) *The representation $\bar{\pi}(\bar{\rho})$ is minimal with respect to satisfying conditions (1) and (2); that is, for any $\bar{\rho}$, and any representation π satisfying these conditions with respect to $\bar{\rho}$, there is an embedding of $\bar{\pi}(\bar{\rho})$ in π .*

The construction of $\bar{\pi}(\bar{\rho})$ is straightforward. One shows that for a given $\bar{\rho}$, and any lift ρ of $\bar{\rho}$ as in condition (2), the socle of $\pi^\circ \otimes_{\mathcal{O}} k'$ is the unique absolutely irreducible generic representation $\bar{\pi}^{\mathrm{gen}}$ of $\mathrm{GL}_n(F)$ whose supercuspidal support corresponds to $\bar{\rho}^{\mathrm{ss}}$ under the mod p semisimple local Langlands correspondence of Vigneras [Vi2]. Thus $\pi^\circ \otimes_{\mathcal{O}} k'$ embeds in the essentially AIG envelope $\mathrm{env}(\bar{\pi}^{\mathrm{gen}})$, so that $\mathrm{env}(\bar{\pi}^{\mathrm{gen}})$ satisfies conditions (1) and (2) of the theorem, but may be too large. One obtains $\bar{\pi}(\bar{\rho})$ by taking the sum, inside $\mathrm{env}(\bar{\pi}^{\mathrm{gen}}) \otimes_k k$, of the subobjects $\pi^\circ \otimes_{\mathcal{O}} \bar{k}$ over all lifts ρ as in (2), and descending from \bar{k} to k .

When $n = 2$ and p is odd, this perspective is all that one needs to explicitly describe the modified mod p local Langlands correspondence. In particular, when $\bar{\rho}^{\mathrm{ss}}$ is not a twist of the direct sum $1 \oplus \bar{\omega}$, where $\bar{\omega}$ is the mod p cyclotomic character, then $\mathrm{env}(\bar{\pi}^{\mathrm{gen}})$ is irreducible, and thus the inclusions $\bar{\pi}^{\mathrm{gen}} \subseteq \bar{\pi}(\bar{\rho}) \subseteq \mathrm{env}(\bar{\pi}^{\mathrm{gen}})$ are all equalities. When $\bar{\rho}^{\mathrm{ss}}$ is a twist of $1 \oplus \bar{\omega}$, the situation is slightly more complicated, but still easy as long as q is not congruent to ± 1 modulo p . We refer the reader to section 5.2 of [EH] for details.

In section 2 we cover the case when q is congruent to -1 modulo p , and $\bar{\rho}^{\mathrm{ss}}$ is a twist of $1 \oplus \bar{\omega}$. This case was worked out independently by Emerton in unpublished work. It is similar to the case when q is not congruent to ± 1 modulo p , but is slightly more complicated because $\mathrm{env}(\bar{\pi}^{\mathrm{gen}})$ has length 3 instead of 2.

The remaining sections are devoted to the case when q is congruent to 1 modulo p , and $\bar{\rho}^{\mathrm{ss}}$ is a twist of $1 \oplus \bar{\omega}$. (Note that $\bar{\omega}$ is trivial in this setting.) This case is the most difficult because in this setting there is a one-parameter family of representations $\bar{\rho}$ whose semisimplification is trivial. It turns out (Theorem 4.8) in this case that the modified mod p local Langlands correspondence is sensitive enough to distinguish between these distinct extensions. This is in stark contrast to the situation in characteristic zero, where the Breuil-Schneider correspondence is insensitive to Frobenius-semisimplification. This “extra sensitivity” is quite striking and would be worth investigating in situations where n is greater than two.

Acknowledgements The results in this paper grew out of a series of discussions with Matthew Emerton, and I am indebted to him for his ideas and suggestions. The paper was partially supported by NSF grant DMS-1161582.

2. $q \equiv -1 \pmod{p}$

In this section we write G for $\mathrm{GL}_2(F)$, for conciseness. Let B be the standard Borel subgroup of G . Suppose that q is congruent to -1 modulo p , and that $\overline{\rho}^{\mathrm{ss}}$ is a twist of $1 \oplus \overline{\omega}$. Since the modified mod p local Langlands correspondence is compatible with twisting, we may assume that $\overline{\rho}^{\mathrm{ss}}$ is equal to $1 \oplus \overline{\omega}$. The semisimple mod p local Langlands correspondence of Vigneras then shows that $\overline{\pi}^{\mathrm{gen}}$, and indeed every Jordan-Hölder constituent of $\mathrm{env}(\overline{\pi}^{\mathrm{gen}})$, has supercuspidal support given by the two characters $|\cdot|^{\pm \frac{1}{2}}$. Thus every Jordan-Hölder constituent of $\mathrm{env}(\overline{\pi}^{\mathrm{gen}})$ is also a Jordan-Hölder constituent of the normalized parabolic induction $i_B^G |\cdot|^{\frac{1}{2}} \otimes |\cdot|^{-\frac{1}{2}}$. There are three such constituents in this setting (c.f. Example II.2.5 of [Vi1]): the trivial character of G , the character $|\cdot| \circ \det$ (which has values in ± 1 because of our assumption on q), and a cuspidal subquotient, which is the unique generic subquotient and is thus isomorphic to $\overline{\pi}^{\mathrm{gen}}$. More precisely, we have exact sequences:

$$\begin{aligned} 0 \rightarrow W \rightarrow i_B^{\mathrm{GL}_2(F)} |\cdot|^{-\frac{1}{2}} \otimes |\cdot|^{\frac{1}{2}} \rightarrow |\cdot| \circ \det \rightarrow 0 \\ 0 \rightarrow 1_G \rightarrow W \rightarrow \overline{\pi}^{\mathrm{gen}} \rightarrow 0 \end{aligned}$$

for a suitable representation W . Both of these sequences are nonsplit, as $\overline{\pi}^{\mathrm{gen}}$ is cuspidal and thus is neither a subobject nor a quotient of any parabolic induction.

Lemma 2.1. *Any nonsplit extension of $\overline{\pi}^{\mathrm{gen}}$ by the trivial character of G is isomorphic to W .*

Proof. Let W' be such an extension. The parabolic restriction $r_{\mathrm{GL}_2(F)}^B W'$ is isomorphic to $|\cdot|^{-\frac{1}{2}} \otimes |\cdot|^{\frac{1}{2}}$. As parabolic induction is a right adjoint to parabolic restriction, this isomorphism gives rise to a nonzero map:

$$W' \rightarrow i_B^G |\cdot|^{-\frac{1}{2}} \otimes |\cdot|^{\frac{1}{2}}$$

It is clear that such a map must be injective with image W . \square

Twisting by $|\cdot| \circ \det$ we find that $W \otimes (|\cdot| \circ \det)$ is the unique nonsplit extension of $\overline{\pi}^{\mathrm{gen}}$ by $|\cdot| \circ \det$.

As $\overline{\pi}^{\mathrm{gen}}$ is self-dual, it is clear that W^\vee and $W^\vee \otimes (|\cdot| \circ \det)$ are essentially AIG representations with socle $\overline{\pi}^{\mathrm{gen}}$. It follows that the representation V obtained as the pushout of the diagram:

$$\begin{array}{ccc} W^\vee & \rightarrow & V \\ \uparrow & & \uparrow \\ \overline{\pi}^{\mathrm{gen}} & \rightarrow & W^\vee \otimes (|\cdot| \circ \det) \end{array}$$

is also essentially AIG. Note that V is an extension of $1_G \oplus (|\cdot| \circ \det)$ by $\overline{\pi}^{\mathrm{gen}}$.

Proposition 2.2. *The representation V is an essentially AIG envelope of $\overline{\pi}^{\mathrm{gen}}$.*

Proof. We must show that V is not properly contained in an essentially AIG representation V' . Suppose there were such a V' . Then the socle of V'/V contains no generic summand, and is thus isomorphic to a direct sum of characters, each of which is either trivial or isomorphic to $|\cdot| \circ \det$. Observing that V is isomorphic to $V \otimes (|\cdot| \circ \det)$ we can ensure, twisting V' if necessary, that V'/V contains a one-dimensional subspace on which G acts trivially. Let V'' be the preimage of this subspace under the surjection

$$V' \rightarrow V'/V.$$

Then V'' is an essentially AIG representation containing V , such that V''/V is the character 1_G , and it suffices to show that such a representation cannot exist.

Note that V'' is essentially AIG, and hence its only endomorphisms are scalars. In particular the center of G acts on V'' by a character, and this character must be trivial since the center of G acts trivially on $\overline{\pi}^{\mathrm{gen}}$. On the other hand, $V''/\overline{\pi}^{\mathrm{gen}}$ is an extension of 1_G by $1_G \oplus (|\cdot| \circ \det)$, and it is easy to see that such an extension must split if the center of G acts trivially.

Thus V'' is an extension of $1_G \oplus 1_G \oplus (|\cdot| \circ \det)$ by $\overline{\pi}^{\mathrm{gen}}$. Applying duality to Lemma 2.1 shows that there is a unique nonsplit extension of 1_G by $\overline{\pi}^{\mathrm{gen}}$, and one deduces from this that the socle of V'' contains at least one copy of 1_G , contradicting the hypothesis that V'' was essentially AIG. \square

We now turn to understanding the modified mod p local Langlands correspondence. If $\overline{\rho}^{\mathrm{ss}}$ is isomorphic to $1 \oplus \overline{\omega}$, then $\overline{\rho}$ is either a nonsplit extension of $\overline{\omega}$ by 1, a nonsplit extension of 1 by $\overline{\omega}$, or the direct sum $1 \oplus \overline{\omega}$.

By contrast, let us consider the representations $\rho : G_F \rightarrow \mathrm{GL}_2(K)$ whose mod p reduction has semisimplification $1 \oplus \overline{\omega}$. There are several cases:

- (1) ρ is irreducible, in which case its reduction modulo p can be any of the three possibilities described above.
- (2) ρ is a nonsplit extension of χ_1 by χ_2 , where the mod p reduction of χ_1 is trivial and the mod p reduction of χ_2 is $\overline{\omega}$. In this case the mod p reduction of ρ has a subrepresentation isomorphic to $\overline{\omega}$, and thus cannot be a nonsplit extension of $\overline{\omega}$ by 1.
- (3) ρ is a nonsplit extension of χ_2 by χ_1 , where the mod p reduction of χ_1 is trivial and the mod p reduction of χ_2 is $\overline{\omega}$. In this case the mod p reduction of ρ cannot be a nonsplit extension of 1 by $\overline{\omega}$.
- (4) ρ is a direct sum of two characters. In this case the mod p reduction of ρ must be the direct sum $1 \oplus \overline{\omega}$.

It is straightforward to describe $\pi(\rho)$, and the reduction $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$, in each of the above cases:

- (1) $\pi(\rho)$ is irreducible and cuspidal. In this case there is a unique homothety class of lattices in π^ρ , and the reduction $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ is also cuspidal, hence isomorphic to $\overline{\pi}^{\mathrm{gen}} \otimes_k k'$.
- (2) $\pi(\rho)$ is a twist of the Steinberg representation by a character that is trivial modulo p . The reduction mod p of $\pi(\rho)$ then has two Jordan-Hölder constituents, isomorphic to $\overline{\pi}^{\mathrm{gen}}$ and $|\cdot| \circ \det$. In particular $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ is a nonsplit extension of $(|\cdot| \circ \det) \otimes_k k'$ by $\overline{\pi}^{\mathrm{gen}} \otimes_k k'$, and is thus isomorphic to $W^\vee \otimes (|\cdot| \circ \det) \otimes_k k'$.
- (3) $\pi(\rho)$ is a twist of the Steinberg representation by a character that is congruent to $|\cdot| \circ \det$ modulo p . In this case $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ is isomorphic to $W^\vee \otimes k'$.
- (4) $\pi(\rho)$ is a parabolic induction, that contains a lattice whose reduction modulo p is $i_B^G |\cdot|^{\frac{1}{2}} \otimes |\cdot|^{-\frac{1}{2}}$. The reduction of $\pi(\rho)^\circ$ thus has length 3 and embeds in $V \otimes_k k'$, and is thus isomorphic to $V \otimes_k k'$.

It is now easy to establish the modified mod p local Langlands correspondence for these $\overline{\rho}$:

Theorem 2.3. *Let $\overline{\rho}$ be a representation of G_F such that $\overline{\rho}^{\mathrm{ss}} \cong 1 \oplus \overline{\omega}$.*

- (1) *If $\overline{\rho} = 1 \oplus \overline{\omega}$, then $\overline{\pi}(\overline{\rho})$ is isomorphic to V .*

- (2) If $\bar{\rho}$ is a nonsplit extension of 1 by $\bar{\omega}$, then $\bar{\pi}(\bar{\rho})$ is isomorphic to $W^\vee \otimes (| \cdot | \circ \det)$.
- (3) If $\bar{\rho}$ is a nonsplit extension of $\bar{\omega}$ by 1, then $\bar{\pi}(\bar{\rho})$ is isomorphic to W^\vee .

Proof. In case (1), $\bar{\rho}$ has a lift ρ of type (4), and then $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ is isomorphic to $V \otimes_k k'$. On the other hand $\bar{\pi}(\bar{\rho})$ is contained in V and $\bar{\pi}(\bar{\rho}) \otimes_k k'$ contains $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$. We must thus have $\bar{\pi}(\bar{\rho}) = V$.

In case (2), $\bar{\rho}$ has lifts of type (1) and (2), but not (3) or (4). Thus $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ is contained in $W^\vee \otimes (| \cdot | \circ \det) \otimes_{\mathcal{O}} k'$, and the two are sometimes equal. We must thus have $\bar{\pi}(\bar{\rho}) = W^\vee \otimes (| \cdot | \circ \det)$. Case (3) follow from case (2) by twisting by $| \cdot | \circ \det$. \square

3. LATTICES IN DIRECT SUMS OF CHARACTERS

Before we turn to the case where $q \equiv 1 \pmod{p}$, we need a technical result. For this section, let G be an arbitrary locally profinite group. Let \mathcal{O} be a discrete valuation ring with residue field k , uniformizer ϖ , and field of fractions K .

Let χ_1 and χ_2 be two distinct characters $G \rightarrow \mathcal{O}^\times$ that are trivial modulo ϖ . We will attach a class $\sigma(\chi_1, \chi_2)$ in $H^1(G, k)$ (where G acts trivially on k) to this pair of characters. Let a be the largest integer such that χ_1 and χ_2 are congruent modulo a . Then for g in G we define $\sigma(\chi_1, \chi_2)_g$ to be the reduction modulo ϖ of the element $\frac{1}{\varpi^a}(\chi_1(g) - \chi_2(g))$.

Note that $H^1(G, k)$ is isomorphic to $\text{Ext}_G^1(1_G, 1_G)$, where 1_G denotes the trivial character of G with values in k . There is thus a bijection between lines in $H^1(G, k)$ and nonsplit extensions of 1_G by 1_G . This bijection can be made entirely explicit as follows: let E be such an extension, let e_1 span the invariant line in E , and complete this to a k -basis $\{e_1, e_2\}$ of E . For any g , $ge_2 - e_2$ is equal to $\sigma_g e_1$ for some σ_g in k ; the cocycle $g \mapsto \sigma_g$ represents a class in $H^1(G, k)$ that is nontrivial because E is not split. A different choice of basis $\{e_1, e_2\}$ changes σ by a nonzero scalar, and this gives the desired bijection of extensions E with lines in $H^1(G, k)$.

Our goal in this section is to interpret the class $\sigma(\chi_1, \chi_2)$ in terms of this isomorphism. Let L be a free \mathcal{O} -module of rank two, with basis e_1 and e_2 . Define an action of G on L by $ge_1 = \chi_1(g)e_1$ and $ge_2 = \chi_2(g)e_2$. Let L' be a G -stable \mathcal{O} -lattice in $L \otimes K$. Then $L'/\varpi L'$ is an extension of 1_G by 1_G , and we have:

Proposition 3.1. *Suppose $L'/\varpi L'$ is nonsplit. Then $\sigma(\chi_1, \chi_2)$ generates the line in $H^1(G, k)$ corresponding to the extension $L'/\varpi L'$.*

Proof. Since replacing L' with $\varpi L'$ does not change the extension $L'/\varpi L'$, we may assume without loss of generality that $L \subset L'$ but $L \not\subseteq \varpi L'$. Then the map

$$L/\varpi L \rightarrow L'/\varpi L'$$

has one-dimensional image. Swapping e_1 and e_2 (and thus χ_1 and χ_2) if necessary we may assume that e_1 generates the image of $L/\varpi L$ in $L'/\varpi L'$. (Note that this only changes $\sigma(\chi_1, \chi_2)$ by a sign.)

Since e_1 is nonzero in $L'/\varpi L'$ we may complete it to a basis e_1, e_3 of L' . Let b be the smallest integer greater than zero such that $\varpi^b e_3$ lies in L , and write $\varpi^b e_3 = \alpha e_1 + \beta e_2$ for $\alpha, \beta \in \mathcal{O}$. We then have $ge_3 = \chi_2(g)e_3 + \frac{1}{\varpi^b} \alpha(\chi_1(g) - \chi_2(g))e_1$. Note that by assumption the coefficient of e_1 lies in \mathcal{O} , as L' is G -stable.

Let \bar{e}_1, \bar{e}_3 be the images of e_1 and e_2 in $L'/\varpi L'$. The action of G fixes \bar{e}_1 , whereas $g\bar{e}_3 = \bar{e}_3 + \sigma_g \bar{e}_1$, where σ_g is the reduction modulo ϖ of $\frac{1}{\varpi^b} \alpha(\chi_1(g) - \chi_2(g))$. As

$L'/\varpi L'$ is nonsplit, σ_g is nonzero for some g , and thus $\frac{1}{\varpi^b}\alpha$ must lie in $\frac{1}{\varpi^a}\mathcal{O}^\times$. Thus σ is a scalar multiple of $\sigma(\chi_1, \chi_2)$ as claimed. \square

4. $q \equiv 1 \pmod{p}$

We now consider the case in which $q \equiv 1 \pmod{p}$. In this case $\bar{\omega}$ is the trivial character, and, so, up to twist, the only case it remains to consider is when $\bar{\rho}^{\mathrm{ss}}$ is the two-dimensional trivial representation of $G = \mathrm{GL}_2(F)$. As above, we begin by computing the appropriate essentially AIG envelope.

In this setting every subquotient of the essentially AIG envelope that contains $\bar{\pi}(\bar{\rho})$ has supercuspidal support given by two copies of the trivial character, and is thus isomorphic to a subquotient of the parabolic induction $i_B^G 1_T$, where $B \subset G$ is the standard Borel, T is the standard torus, and 1_T is the trivial character of the torus over k . This induction has two Jordan-Hölder constituents: the trivial character 1_G , and the Steinberg representation St of G over k . We thus have $\bar{\pi}^{\mathrm{gen}} = \mathrm{St}$.

Lemma 4.1. *There is an isomorphism:*

$$i_B^G 1_T \cong 1_G \oplus \mathrm{St}.$$

Proof. The restrictions $r_G^B 1_G$ and $r_G^B \mathrm{St}$ are both isomorphic to the trivial character 1_T , because the norm character $|\cdot|$ is trivial. We thus have:

$$\mathrm{Hom}_G(1_G, i_B^G 1_T) = \mathrm{Hom}_T(1_T, 1_T)$$

$$\mathrm{Hom}_G(\mathrm{St}, i_B^G 1_T) = \mathrm{Hom}_T(1_T, 1_T)$$

and the claim follows. \square

Lemma 4.2. *The space $\mathrm{Ext}_G^1(1_G, \mathrm{St})$ is two-dimensional.*

Proof. Adjointness of parabolic induction and restriction gives an isomorphism:

$$\mathrm{Ext}_G^1(1_G, i_B^G 1_T) \cong \mathrm{Ext}_T^1(1_T, 1_T)$$

and the latter is four dimensional. On the other hand

$$\mathrm{Ext}_G^1(1_G, i_B^G 1_T) \cong \mathrm{Ext}_G^1(1_G, 1_G) \oplus \mathrm{Ext}_G^1(1_G, \mathrm{St}).$$

One easily sees that $\mathrm{Ext}_G^1(1_G, 1_G)$ is two-dimensional, and the result follows. \square

Let V be the “universal extension” of 1_G by St , in other words the unique extension of $1_G \oplus 1_G$ by St that contains every isomorphism class of extension of 1_G by St (more prosaically V may be constructed as the pushout:

$$\begin{array}{ccc} W & \rightarrow & V \\ \uparrow & & \uparrow \\ \mathrm{St} & \rightarrow & W' \end{array}$$

where W and W' are any two nonisomorphic extensions of 1_G by St .) We then have:

Proposition 4.3. *The representation V is an essentially AIG envelope of St .*

Proof. Suppose not. Then (just as in the $q \equiv -1 \pmod p$ case), there is an essentially AIG representation V' containing V with V'/V isomorphic to 1_G . The quotient V'/St is an extension of 1_G by $1_G \oplus 1_G$ on which the center of G acts trivially; since p is odd such an extension is split. Thus V' is an extension of $1_G \oplus 1_G \oplus 1_G$ by St ; since $\text{Ext}_G^1(1_G, \text{St})$ is only two dimensional we must have that 1_G is a direct summand of V' , contradicting the fact that V' is essentially AIG. \square

It will be useful to be able to classify the nonsplit extensions of 1_G by St . Observe:

Lemma 4.4. *Let W be a nonsplit extension of 1_G by St . Then $r_G^B W$ is a nonsplit extension of 1_T by 1_T . (Equivalently, the map*

$$\text{Ext}_G^1(1_G, \text{St}) \rightarrow \text{Ext}_T^1(1_T, 1_T)$$

induced by r_G^B is injective.)

Proof. Suppose $r_G^B W$ is split. Then $\text{Hom}_T(r_G^B W, 1_T)$ is two dimensional, so $\text{Hom}_G(W, i_B^G T)$ is also two dimensional. It follows that there is a surjection of W onto St , implying that W must also be split. \square

It is not difficult to characterise the image of this map:

Lemma 4.5. *Let E be an extension of 1_G by 1_G . Then there exists an extension W of 1_G by St with $r_G^B W = E$ if, and only if, the center Z of G acts trivially on E .*

Proof. The representation W is essentially AIG and so Z acts on W by scalars, and hence trivially. Thus the same is true of $r_G^B W$. Thus the image of the map

$$r_G^B : \text{Ext}_G^1(1_G, \text{St}) \rightarrow \text{Ext}_T^1(1_T, 1_T)$$

is contained in the subspace $\text{Ext}_{T/Z}^1(1_{T/Z}, 1_{T/Z})$ of $\text{Ext}_T^1(1_T, 1_T)$. This subspace is two-dimensional, as is the image of r_G^B , proving the claim. \square

The sequence of isomorphisms: $W_F^{\text{ab}} \cong F^\times \cong T/Z$ (where the last isomorphism sends $x \in F^\times$ to the class of the diagonal matrix with entries a and 1) induces a chain of isomorphisms:

$$\text{Ext}_{G_F}^1(1_{G_F}, 1_{G_F}) \cong \text{Ext}_{W_F}^1(1_{W_F}, 1_{W_F}) \cong \text{Ext}_{F^\times}^1(1_{F^\times}, 1_{F^\times}) \cong \text{Ext}_{T/Z}^1(1_{T/Z}, 1_{T/Z}).$$

Denote the composition of these morphisms by ϕ . We observe:

Lemma 4.6. *Let K be a finite extension of \mathbb{Q}_p with uniformizer ϖ , residue field k' and ring of integers \mathcal{O} . Let $\hat{\chi}_1$ and $\hat{\chi}_2$ be distinct characters of G_F with values in \mathcal{O} , whose reductions mod ϖ are trivial, and let χ_1 and χ_2 be the corresponding characters of F^\times . Then the sequence of maps:*

$$H^1(G_F, 1_G) \cong \text{Ext}_{G_F}^1(1_G, 1_G) \xrightarrow{\phi} \text{Ext}_{T/Z}^1(1_{T/Z}, 1_{T/Z}) \cong H^1(T/Z, 1_{T/Z})$$

takes $\sigma(\chi_1, \chi_2)$ to a nonzero multiple of the class $\sigma(\chi_1 \otimes \chi_2, \chi_2 \otimes \chi_1)$.

Proof. This is an easy computation. \square

We are now in a position to describe $\bar{\pi}(\bar{\rho})$ for each $\bar{\rho}$. We first enumerate the possible lifts ρ of $\bar{\rho}$. There are four cases:

- (1) ρ is a two-dimensional representation of G_F on which G_F acts via a single character $\hat{\chi}$. In this case $\bar{\rho}$ must be trivial.

- (2) ρ is the direct sum of two distinct characters $\hat{\chi}_1$ and $\hat{\chi}_2$ whose reductions are trivial. In this case $\bar{\rho}$ is either trivial or the unique nonsplit extension of 1_{G_F} by 1_{G_F} of class $\sigma(\hat{\chi}_1, \hat{\chi}_2)$.
- (3) ρ is a nonsplit extension of a character $\hat{\chi}$ by the character $\omega\hat{\chi}$.
- (4) ρ is a twist of the unique unramified extension of the trivial representation of G_F over K by itself. In this case $\bar{\rho}$ is either trivial or the unique unramified extension of 1_{G_F} by 1_{G_F} .

The next step is to describe $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$. We first observe:

Lemma 4.7. *Let K be a finite extension of \mathbb{Q}_p , and let π be the irreducible parabolic induction $i_B^G 1_{T,K}$, where $1_{T,K}$ is the one-dimensional trivial representation of T over K . Then $r_G^B \pi$ is the unique nonsplit extension of $1_{T,K}$ by $1_{T,K}$ on which the action of T factors through the quotient: $T \rightarrow T/Z \cong F^\times \rightarrow \mathbb{Z}$.*

Proof. It is clear that the extension $r_G^B \pi$ is nonsplit, as we have isomorphisms:

$$K \cong \mathrm{End}_G(\pi) \cong \mathrm{Hom}_T(r_G^B \pi, 1_{T,K}).$$

It is also clear that the action of T on $r_G^B \pi$ factors through T/Z . On the other hand the representation π is an irreducible representation with an Iwahori fixed vector, and it is well-known that for such π , the subgroup $\mathcal{O}^\times \times \mathcal{O}^\times$ of T acts trivially on $r_G^B \pi$. \square

We can now describe $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ in each of the above cases.

- (1) In this case $\pi(\rho)$ is a twist of $i_B^G 1_{T,K}$, and the lemma above then implies that $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ is the unique extension W of 1_G by St such that the action of T on $r_G^B W$ factors through $T \rightarrow T/Z \cong F^\times \rightarrow \mathbb{Z}$.
- (2) In this case $\pi(\rho)$ is the parabolic induction $i_B^G \chi_1 \otimes \chi_2$, where χ_1 and χ_2 are the characters of F^\times arising from $\hat{\chi}_1$ and $\hat{\chi}_2$ by local class field theory. It follows that $r_G^B \pi(\rho)$ is the direct sum of the characters $\chi_1 \otimes \chi_2$ and $\chi_2 \otimes \chi_1$, and $r_G^B \pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ is then the nonsplit extension of 1_T by 1_T of class $\sigma(\chi_1 \otimes \chi_2, \chi_2 \otimes \chi_1)$.
- (3) In this case $\pi(\rho)$ is a twist of the Steinberg representation, and $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ is isomorphic to $\mathrm{St} \otimes_k k'$.
- (4) In this case $\pi(\rho)$ is a twist of $i_B^G 1_{T,K}$, and the same discussion as in case (1) applies.

Theorem 4.8. *If $\bar{\rho}$ is trivial, then $\bar{\pi}(\bar{\rho}) = V$. On the other hand, if $\bar{\rho}$ is the nonsplit extension of 1_{G_F} by 1_{G_F} represented by $\sigma \in \mathrm{Ext}_{G_F}^1(1_{G_F}, 1_{G_F})$, then $\bar{\pi}(\bar{\rho})$ is the unique nonsplit extension of 1_G by St such that $r_G^B \bar{\pi}(\bar{\rho})$ represents the class $\phi(\sigma)$ in $\mathrm{Ext}_T^1(1_T, 1_T)$.*

Proof. If $\bar{\rho}$ is trivial, then $\bar{\rho}$ has lifts of type (2) above for an arbitrary choice of $\hat{\chi}_1$ and $\hat{\chi}_2$. Thus $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ can be an arbitrary nonsplit extension of 1_G by St . As $\bar{\pi}(\bar{\rho}) \otimes_k k'$ must contain all of these extensions, and is contained in V , we must have $\bar{\pi}(\bar{\rho}) = V$.

If $\bar{\rho}$ is nontrivial and ramified, then $\bar{\rho}$ has lifts of type (2) and possibly (3), but not (1) or (4). If ρ is a lift of type (3) then $\pi(\rho)^\circ \otimes_{\mathcal{O}} k$ is isomorphic to St and thus tells us nothing about $\bar{\pi}(\bar{\rho})$. On the other hand, if $\rho = \hat{\chi}_1 \oplus \hat{\chi}_2$ is a lift of type (2), we have $\sigma = \sigma(\hat{\chi}_1, \hat{\chi}_2)$. Then $\pi(\rho)^\circ \otimes_{\mathcal{O}} k'$ is the extension of 1_G by St corresponding to the class $\sigma(\chi_1 \otimes \chi_2, \chi_2 \otimes \chi_1)$ in $\mathrm{Ext}_T^1(1_T, 1_T)$. This class is a nonzero multiple of $\phi(\sigma)$. It is thus clear that $\bar{\pi}(\bar{\rho})$ is the extension corresponding to $\phi(\sigma)$ as claimed.

If $\bar{\rho}$ is nontrivial but unramified, the discussion of the previous paragraph applies but one must also consider lifts of type (4). It suffices to check that these produce the same extension of 1_G by St as the lifts of type (2); that is, that when σ is the class attached to an unramified nonsplit extension of 1_{G_F} by 1_{G_F} , then $\phi(\sigma)$ corresponds to the extension of 1_T by 1_T on which the action of T factors through $T \rightarrow T/Z \cong F^\times \rightarrow \mathbb{Z}$. This is a straightforward calculation. \square

REFERENCES

- [BS] Breuil C., Schneider P., *First steps towards p -adic Langlands functoriality*, J. Reine Agnew. Math. 610 (2007), 149–180.
- [Em] Emerton, M. *Local-global compatibility in the p -adic Langlands program for GL_2/\mathbb{Q}* , preprint, 2011.
- [EH] Emerton, M., and Helm, D. The local Langlands correspondence for GL_n in families, submitted, **arXiv:1104.0321**.
- [Vi1] Vigneras, M.-F., *Représentations ℓ -modulaires d’un groupe réductif p -adique avec $\ell \neq p$* , Birkhauser (1996).
- [Vi2] Vigneras, M.-F., Correspondance locale de Langlands semi-simple pour $\text{GL}(n, F)$ modulo $\ell \neq p$, Invent. Math. **144** (2001), 177–223.